

**Developing a Draft Ethics Protocol  
under Collective Approach 5 of the Baku Workplan of the LCIPP**  
*Third virtual workshop*  
*Concept Note*

**I. Background**

COP 29 welcomed the Baku Workplan of the Local Communities and Indigenous Peoples Platform (LCIPP), which is organized around six collective approaches (CAs). In line with the workplan, a mandated deliverable under Collective Approach 5 (CA5) is the development of a draft ethics protocol before the 15<sup>th</sup> meeting of the Facilitative Working Group (FWG), with a view to enhancing the participation of Indigenous Peoples and local communities in the UNFCCC process.

Deliverable 5.3 under CA5 further specifies that the draft ethics protocol will:

- ensure ethical and equitable engagement, protection, and use of traditional knowledge, the knowledge of Indigenous Peoples, and local knowledge systems;
- be created with the direct involvement of Indigenous Peoples and local communities; and
- be used in LCIPP-related activities, including gatherings of knowledge holders, regional or bi-regional gatherings, and seventh-generation round tables.

The FWG conducted the first and second workshop in a series of three online workshops to gather inputs for the development of the draft ethics protocol. Each of the three virtual workshops are organized in two sessions to enable wider participation across regions and time zones. During the first two workshops, contributors shared rich and valuable inputs which are contributing to the co-creation of the draft ethics protocol. During the third workshop, a preliminary outline of the draft ethics protocol will be presented to seek inputs from contributors.

This draft concept note is informed by discussions held at FWG 14, intersessional CA5 coordination meetings and the initial two virtual workshop<sup>1</sup>.

**II. Logistical Information**

For the third virtual workshop, participants are invited to join one of the sessions most convenient to them by registering via the links below:

Session 1: 17 March from 08:00 – 10:00 CET

Interpretation: English <> Russian

Session 2: 19 March from 16:00 – 18:00 CET

Interpretation: English <> French <> Spanish

Register for the sessions on the LCIPP Web Portal [here](#).

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<sup>1</sup> <https://lcipp.unfccc.int/events/first-virtual-workshop-develop-draft-ethics-protocol-under-collective-approach-5-baku-workplan>

### III. Agenda

Moderated by the Facilitative Working Group of the LCIPP

| Time Duration | Topic  |
|---------------|--|
| 15 mins       | <b>Scene Setting Presentation on Collective Approach 5 of the Baku Workplan</b>  |
| 10 mins       | <b>Brief Presentation on</b> <ul style="list-style-type: none"> <li>- Outline of the draft ethics protocol</li> <li>- A dedicated webpage on the LCIPP web portal for contributors to share their views and relevant materials for regular reviews of the draft ethics protocol, and for continuous learning and improvement.</li> </ul>   |
| 90 mins       | <b>Open Discussion on the Outline of the Draft Ethics Protocol</b><br><br><u>Discussion Questions for consideration:</u><br><br><i>On guiding principles</i> <ol style="list-style-type: none"> <li>1. Are there specific concepts, values, worldviews, or practices from your community that connect with these guiding principles (respect, dignity, relationality, reciprocity, care, and integrity)? If so, please share them, including in your own languages and through existing visual or audio materials, so they can be incorporated into the draft ethics protocol and guide this work.</li> <li>2. Are there other concepts, values, worldviews, or practices from your community that should be included in the list of guiding principles?</li> </ol> <i>On cultural protocol</i> <ol style="list-style-type: none"> <li>3. What cultural guidance, ethical considerations, and community protocols should be respected when engaging with knowledge systems in your community, bearing in mind that some knowledge is nurtured through sharing, while other knowledge systems are sacred, sensitive, or not intended for wider dissemination?</li> </ol> <i>Reflections on the outline of the draft ethics protocol (20 mins)</i> <ol style="list-style-type: none"> <li>4. Any other views on the preliminary outline of the draft ethics protocol?</li> </ol> |

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|        | <p><i>On keeping the draft ethics protocol as a living document for monitoring and continuous improvement</i></p> <ul style="list-style-type: none"><li>- Contributors are encouraged to continue sharing concrete examples of ethics guidelines, frameworks, or knowledge safeguards from your communities or regions that could help inform the draft ethics protocol under the Baku Workplan of the LCIPP.</li><li>- Contributors can submit examples via the Community board, LCIPP Resource Hub, or simply email them to the secretariat at <a href="mailto:LCIPP@unfccc.int">LCIPP@unfccc.int</a></li></ul> |
| 5 mins | <b>Overview of Next Steps and Other Ways to Contribute</b>  |

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