



United Nations
Climate Change

Second Virtual Workshop to Develop a Draft Ethics Protocol

Collective Approach 5 of the Baku Workplan of the LCIPP

Local Communities and Indigenous Peoples Platform (LCIPP)

Session 2: 26 February 2026; 16:00 – 18:00 CET

Facilitative Working Group (FWG) of the LCIPP

The FWG facilitates the implementation of the LCIPP's three functions.

- Party representatives are appointed by their respective regional groups and constituencies, and Indigenous Peoples representatives are appointed by the Indigenous Peoples, through their focal points
- Members serve for a term of three years and not eligible to serve two consecutive terms

5

One Party representative from each of the five UN regional groups

1

One SIDS Party representative

1

One LDC Party representative

7

One representative from each of the seven UN Indigenous sociocultural regions

(Decision 2/CP.24, para 3)

Request for SBSTA to consider the addition of at least three additional representatives to represent local communities, as well as a process for the appointment of such representatives, and the addition of an equal number of Party representatives

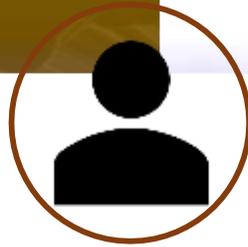
3

(Decision 2/CP.24, para 4)

FWG Members

Current Composition

Party Representatives



Lena Estrada

Latin America and the Caribbean (GRULAC)



Rafik Aini

Africa



Azamsadat Mostafavi

Asia-Pacific



Birrin Hooper

Western Europe and Others group (WEOG)



Agrafena Kotova*

Eastern Europe



Cozier Frederick

Small Island Developing States (SIDS)



Angela Ebeleke

Least Developed Countries (LDCs)

**In line with Decision 2/CP.24, paragraph 8, representative shall remain in office until a successor has been elected*

FWG Members

Current Composition

Representatives from the UN Indigenous Sociocultural Regions



**Ricardo Campos
Quezada**

Central and South America, and the
Caribbean



Aehshatou Manu

Africa



Robert Karoro

The Pacific



Janene Yazzie

North America



Viacheslav Shadrin

Central and Eastern Europe, Russian
Federation, Central Asia and
Transcaucasia



**Kittisak
Rattanakrajang Sri**

Asia



**Victoria Qutuug
Buschman***

The Arctic

**In line with Decision 2/CP.24, paragraph 7, the appointed alternate will assume the role and complete the functions of the member*

FWG Members Serving as Co-Leads of the Collective Approach

Collective Approach 5: Enhanced Engagement with Parties

Birrin Hooper

UN Regional Group

Western Europe and other Groups
(WEOG)

Robert Karoro

UN Indigenous Sociocultural Region

The Pacific

Victoria Qutuuq Buschman

UN Indigenous Sociocultural
Region

The Arctic

Housekeeping

Interpretation

Simultaneous interpretation is available in **English, French and Spanish**. Please access the interpretation by clicking the global icon and selecting the respective language of your choice.

Une interprétation en direct est disponible en anglais, français et espagnol. Pour accéder à l'interprétation, veuillez cliquer sur l'icône globale et sélectionner la langue souhaitée.

La interpretación en vivo para el evento está disponible en **inglés, francés y español**. Por favor acceda a la interpretación haciendo clic en el icono global y seleccionando el idioma respectivo.

For interpreters, if you experience any difficulties, please reach out via private message.

Comments or Questions?

Please use the raise-hand functionality if you wish to take the floor. Please **turn on your camera** if the bandwidth allows when you speak.

Feel free to use **chat functionality** through the meeting.

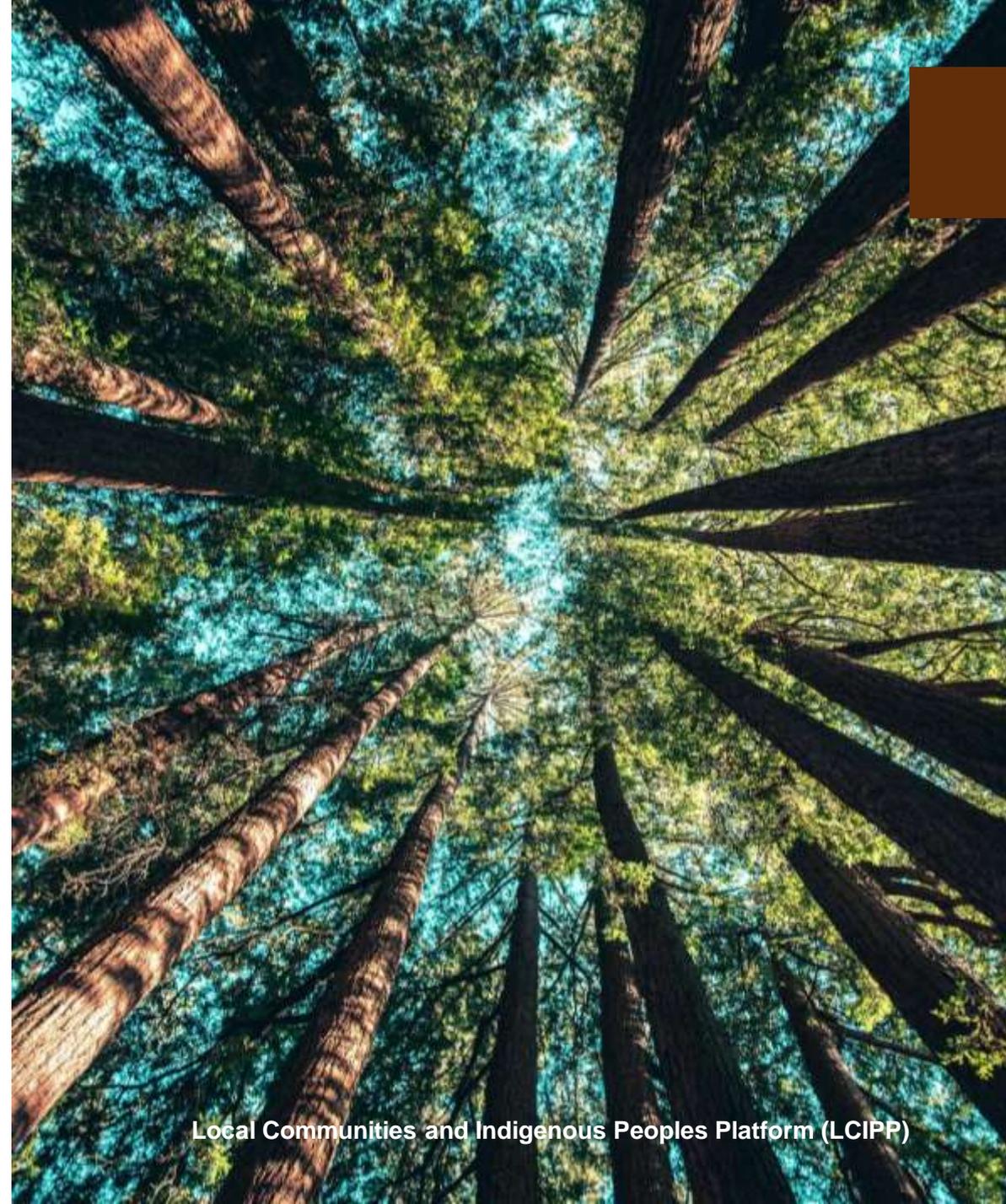
Kindly stay on mute when you are not speaking.

Housekeeping

Meeting documents and recordings

Meeting documents including the agenda, concept note, and guiding questions are available on the event webpage.

The session is being recorded and will be uploaded to the event webpage.



Care Principles as adopted by FWG 14

- **Speak with Care**: Share from lived experience and welcome all voices with openness, humility, and respect.
- **Listen with Care**: Engage in active, respectful listening to understand, connect, and build mutual trust.
- **Practice Awareness and Humility** : Be mindful of our presence and influence in discussions, creating space for others to contribute and be acknowledged.
- **Ensure Inclusive and Accessible Participation** : Foster an environment where everyone can participate meaningfully by providing interpretation, cultural understanding, and other accessibility measures, as appropriate.
- **Value and Bridge Diverse Knowledge Systems** : Recognize that addressing climate change requires collective wisdom and all forms of knowledge.

Agenda

Time	Topic
16:00 – 16:15	Scene Setting
16:15 – 16:25	Overview of Relevant Materials Shared by Contributors
16:25 – 17:45	Open Discussion
17:45 – 18:00	Overview of Next Steps and Workshop



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Scene Setting

Local Communities and Indigenous Peoples Platform (LCIPP)

The Baku Workplan of the Local Communities and Indigenous Peoples Platform 2025–2027

6 Collective Approaches used to organize the workplan consisting of activities to engage and promote exchange of knowledge, deliverables to elevate the rich exchange and outcomes that weave together concepts and terminologies that embody Indigenous Peoples' knowledge, values and worldviews, and by sharing the perspectives of local communities.

1

Collective Approach 1:

Gathering of Knowledge Holders

2

Collective Approach 2:

Regional Engagement

3

Collective Approach 3:

Seventh-generation Round Table

4

Collective Approach 4:

Collaboration With Constituted Bodies and Under Workstreams of the UNFCCC

5

Collective Approach 5:

Enhanced Engagement with Parties

6

Collective Approach 6:

Overall Strategic Planning

The Baku Workplan of the Local Communities and Indigenous Peoples Platform 2025–2027

*Engaging and exchanging
(activities)*

Elevating (deliverables)

Weaving together (outcomes)

COLLECTIVE APPROACH 5: ENHANCED ENGAGEMENT WITH PARTIES

5.1 Conduct targeted outreach to and engage with national focal points

including but not limited to national focal points to the UNFCCC, loss and damage contact points, adaptation contact points, national gender and climate change focal points and national Action for Climate Empowerment focal points.

5.2 Hold an annual dialogue for Indigenous Peoples, local communities and Parties to promote the ethical and equitable engagement of values and knowledge systems of Indigenous Peoples and local knowledge systems in the design and implementation of NAPs, NDCs and national communications.

5.3 Develop recommendations and guidance on best practices to ensure the ethical and equitable engagement, protection, and use of traditional knowledge, the knowledge of Indigenous Peoples, and local knowledge systems

including through an ethics protocol to be created with the direct involvement of Indigenous Peoples and local communities and used at the gatherings of knowledge holders, regional (or biregional) gatherings, and seventh-generation round tables.

5.4 Enhance the participation of Indigenous Peoples and local communities in the UNFCCC process, prioritizing direct participation, including of youth and women, in training sessions and workshops of relevant constituted bodies and UNFCCC workstreams, in order to facilitate their contribution to the process of formulating, implementing and communicating climate policies and actions.

5.5 Develop a draft ethics protocol before the 15th meeting of the FWG and enhance the participation of Indigenous Peoples and local communities in the UNFCCC process throughout the year.

Foster national-level climate action planning and implementation, including the development of policies and programmes that increasingly reflect the values, worldviews, knowledge systems and priorities of Indigenous Peoples and local knowledge.

Co-leads:

- **IPO Member/s:** Robert Karoro
- **Party member/s:** Birrin Hooper

Additionally,

- **Focal points for constituted bodies and work programmes/workstreams** will be consulted as appropriate
- Victoria Qutuuq Buschman has volunteered to contribute to deliverable 5.5

Mandated Deliverable under Collective Approach 5

COLLECTIVE APPROACH 5

5.3 Develop recommendations and guidance on best practices to ensure the ethical and equitable engagement, protection, and use of traditional knowledge, the knowledge of Indigenous Peoples, and local knowledge systems, including through an ethics protocol to be created with the direct involvement of Indigenous Peoples and local communities and used at the gatherings of knowledge holders, regional (or biregional) gatherings, and seventh-generation round tables.

Mandate for the development of the draft ethics protocol

Timeline for the development of the draft ethics protocol

5.5 **Develop a draft ethics protocol before the 15th meeting of the FWG** and enhance the participation of Indigenous Peoples and local communities in the UNFCCC process throughout the year.

Mandated Deliverable under Collective Approach 5

Objectives of the development of the draft ethics protocol

Process for the development of the draft ethics protocol

COLLECTIVE APPROACH 5

5.3 **Develop recommendations and guidance on best practices to ensure the ethical and equitable engagement, protection, and use of traditional knowledge, the knowledge of Indigenous Peoples, and local knowledge systems**, including through an ethics protocol to be created with the **direct involvement of Indigenous Peoples and local communities** and used at the gatherings of knowledge holders, regional (or biregional) gatherings, and seventh-generation round tables.

5.5 **Develop a draft ethics protocol before the 15th meeting of the FWG** and enhance the participation of Indigenous Peoples and local communities in the UNFCCC process throughout the year.

Application of the draft ethics protocol



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Overview of Relevant Materials Shared by Contributors

Local Communities and Indigenous Peoples Platform (LCIPP)

Examples shared by contributors through FWG 14, First Workshop under this series and the LCIPP resource hub

Region	Examples received
Africa	2
Asia	1
Central and South America and the Caribbean	23
Central and Eastern Europe, Russian Federation, Central Asia and Transcaucasia	2
North America	6
The Arctic	5
The Pacific	5
Global	10
Total	54

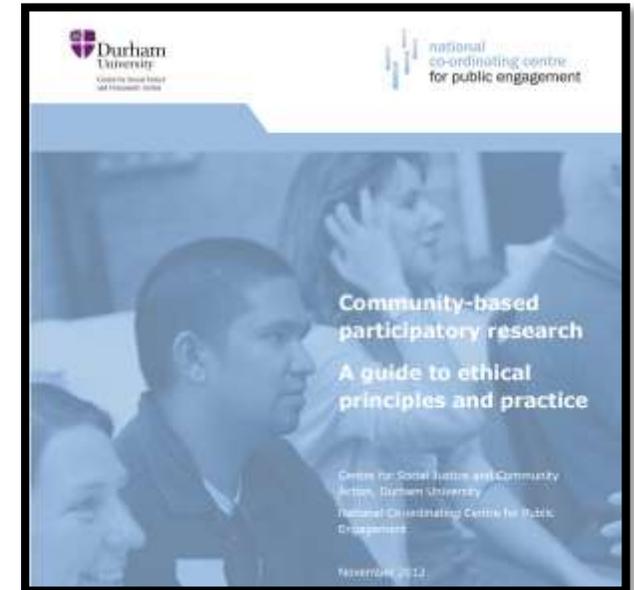
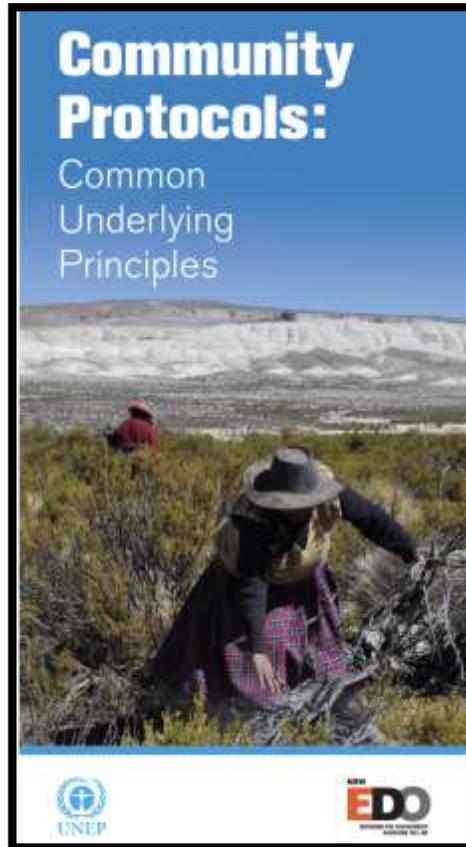
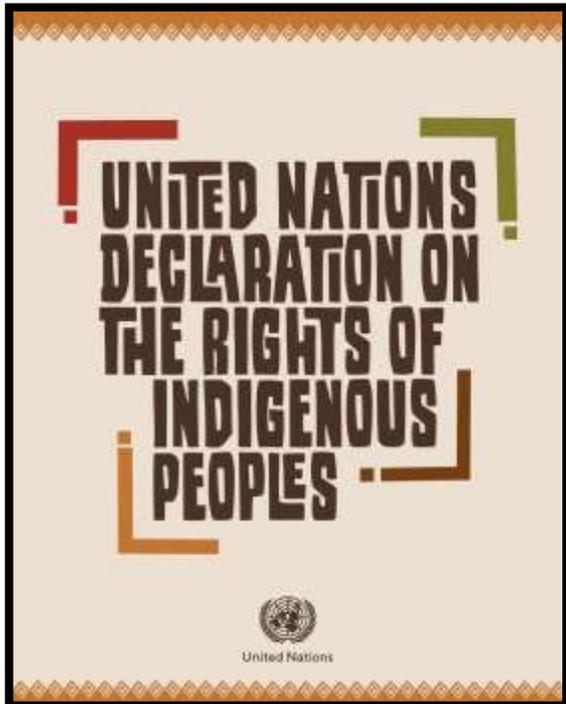
* Additional 12 relevant materials on organizations and their policies on engaging with Indigenous Peoples and local communities

Please continue sharing examples of protocols and frameworks from your regions.

Building on inputs from contributors, we will establish a dedicated space on the LCIPP webportal to serve as a repository for these protocols.

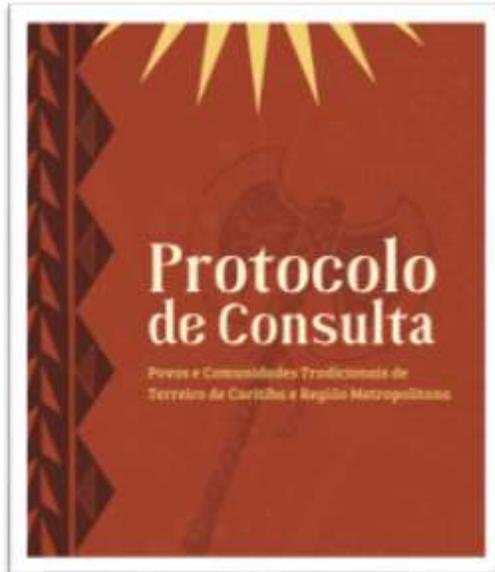
Additional materials will continue to be welcomed to support the development of a living and community-driven draft ethics protocol.

Examples of shared global materials



Example of shared materials
from Central and South America
and the Caribbean

Consultation Protocol for the Traditional Peoples and Communities of Terreiro de Curitiba and the Metropolitan Region



Cover image from the Consultation Protocol

***“The Consultation Protocol is an instrument for fighting for and defending the rights of the Traditional Peoples and Communities of Terreiro de Curitiba and the Metropolitan Region. It is a set of rules created by the People or Community themselves, which must be followed by the State and other organizations/companies/individuals when presenting a project (executive and/or legislative), scientific and academic research that impacts the community.*”**

This instrument ensures respect for the self-determination of traditional peoples and serves to protect them against interference in their territories, their development, and their legal sphere”

“The legislation stipulates that whenever an administrative or legislative undertaking/act/measure affects the legal sphere of an indigenous, quilombola, or other traditional community, the government has an obligation to conduct a prior, free, informed, and good-faith consultation with those communities.”

Extracts from Consultation Protocol, translated from original Portuguese using DeepL

Example of shared materials from North America

The First Nations Principles of OCAP®

First Nations Information Governance Centre (FNIGC)



Image from the FNIGC website

“The First Nations principles of ownership, control, access, and possession – more commonly known as OCAP® – assert that First Nations have control over data collection processes, and that they own and control how this information can be used.”

“Ownership refers to the relationship of First Nations to their cultural knowledge, data, and information. This principle states that a community or group owns information collectively in the same way that an individual owns his or her personal information.

Control affirms that First Nations, their communities, and representative bodies are within their rights to seek control over all aspects of research and information management processes that impact them. [...]

Access refers to the fact that First Nations must have access to information and data about themselves and their communities regardless of where it is held. The principle of access also refers to the right of First Nations’ communities and organizations to manage and make decisions regarding access to their collective information. [...]

Possession While ownership identifies the relationship between a people and their information in principle, possession or stewardship is more concrete: it refers to the physical control of data. Possession is the mechanism by which ownership can be asserted and protected.”

Extracts from OCAP training page on FNIGC website

Example of shared materials from Africa

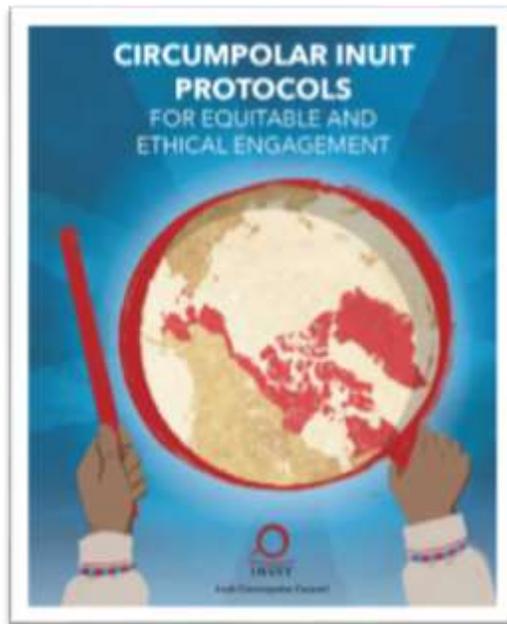
Mentimeter input from contributor during the first workshop

In DrCongo *Community Elders'
Councils as Ethical
Gatekeepers Where: Ituri, Mai-
Ndombe, Equateur, Kasai 2.
Free, Prior and Informed
Consent (FPIC) – Customary
Form Where: REDD+ zones,
community forest

Example of shared materials from the Arctic

Circumpolar Inuit Protocols for Equitable and Ethical Engagement

Inuit Circumpolar Council (ICC)



Cover image from Circumpolar Inuit Protocols for Equitable and Ethical Engagement

“There are Eight protocols:

- *‘Nothing About Us Without Us’ – Always Engage with Inuit*
- *Recognize Indigenous Knowledge in its Own Right*
- *Practice Good Governance*
- *Communication with Intent*
- *Exercising Accountability - Building Trust*
- *Building Meaningful Partnerships*
- *Information, Data Sharing, Ownership and Permissions*
- *Equitably Fund Inuit Representation and Knowledge”*

Extract from Circumpolar Inuit Protocols for Equitable and Ethical Engagement

Guidelines for Ethical Research in Australian Indigenous Studies

Australian Institute of Aboriginal and Torres Strait Islander Studies (AIATSIS)



Cover image from the Guidelines for Ethical Research in Australian Indigenous Studies

“The Guidelines comprise 14 principles grouped under the broad categories of:

- *rights, respect and recognition;*
- *negotiation, consultation, agreement and mutual understanding;*
- *participation, collaboration and partnership;*
- *benefits, outcomes and giving back;*
- *managing research: use, storage and access; and*
- *reporting and compliance”*

Extract from the Guidelines for Ethical Research in Australian Indigenous Studies

Asian Framework on Indigenous Knowledge and Data Sovereignty

Asia Indigenous Peoples Pact
Foundation (AIPP)



Image from Asian Framework on Indigenous Knowledge and Data Sovereignty

“Basic guiding principles of the regional framework on Indigenous Knowledge and Data Sovereignty

- a. Acknowledgement that all living and non-living things—all humans and non-human nature— are an interrelated community [...]
- b. Respect for the inherent essence of being human, and that all humans are responsible towards one another and to nature, and thus the value of: integrity, dignity, respect, equality, fairness, justice, reciprocity, and sharing.
- c. Respect for our sovereignty and interests in knowledge and data derived from our inherent rights as Indigenous Peoples, and our unique relationships with the land, water, and sky.
- d. Acknowledgement that knowledge held and perpetuated by all members of the community are of crucial worth and value.
- e. Respect for the fact that the data created and the knowledge produced inherently belong to the creator and exist to serve the common good and to be collectively governed.
- f. Respect for the right to intellectual property and the promotion of human rights, including, among others, the individual and collective rights enshrined in the Universal Declaration of Human Rights, UNDRIP and international conventions and covenants signed and ratified by States.
- g. Respect for the preservation and strengthening of identity, cultural integrity, customary institutions, customs, and traditions, and authority and control over our lands, territories, and resources.”

Examples of shared materials from the Central and Eastern Europe, the Russian Federation, Central Asia and Transcaucasia

Inputs shared during small group discussions at FWG 14

- *“Code of Conduct for the territories of traditional usage agreed between IP and Privat sector in Sakha (Yakutia) region of Russia*
- *Procedure for holding consultations of executive authorities with representative institutions of Indigenous Peoples of Ukraine adopted by the Cabinet of Ministers of Ukraine”*

Extract from small group discussion notes

Links are welcome should these protocols be publicly available



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Open Discussion

Local Communities and Indigenous Peoples Platform (LCIPP)

Share your examples of protocols, frameworks and other resources to inform the draft ethics protocol:

Share your case stories, audio files, photos and testimonials on the **LCIPP Community Board**



Share your publications, reports, videos and presentations on the **LCIPP Resource Hub**



Share your inputs directly in the Zoom chat

Or email the secretariat at lcipp@unfccc.int



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Mentimeter Polling



Scan the QR code or visit [menti.com](https://www.menti.com) and use code 2536 3314

Please note that Menti results will be posted on the LCIPP webportal

Local Communities and Indigenous Peoples Platform (LCIPP)



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Overview of Next Steps and Workshop

Local Communities and Indigenous Peoples Platform (LCIPP)



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Virtual Workshops to Develop a Draft Ethics Protocol under the Baku Workplan of the LCIPP

Be part of co-creating the draft ethics protocol!

In line with the Baku Workplan of the LCIPP, a mandated deliverable under [Collective Approach 5](#) is to develop a draft ethics protocol to support the ethical and equitable engagement with, protection of, and use of traditional knowledge, the knowledge of Indigenous Peoples, and local knowledge systems. Once developed, the draft protocol will inform the organization and conduct of gatherings of knowledge holders, regional (or biregional) gatherings, and seventh-generation round tables.

Three online workshops, organized into two sessions each, will be convened to gather inputs for the draft ethics protocol.

Local Communities and Indigenous Peoples Platform (LCIPP)



Virtual Workshop 1

**Session 1: Tuesday
3 February 2026**

8:00 - 10:00 (CET)

Interpretation: English, French, Russian

**Session 2:
Thursday
5 February 2026**

16:00 - 18:00 (CET)

Interpretation: English, Spanish

More information and registration



<https://tinyurl.com/67hhmxdn>



Virtual Workshop 2

**Session 1: Tuesday
24 February 2026**

8:00 - 10:00 (CET)

Interpretation: English, Russian

**Session 2:
Thursday
26 February 2026**

16:00 - 18:00 (CET)

Interpretation: English, Spanish, French

More information and registration



<https://tinyurl.com/42z44at6>



Virtual Workshop 3

**Session 1: Tuesday
17 March 2026**

8:00 - 10:00 (CET)

Interpretation: English, French, Russian (TBC)

**Session 2:
Thursday
19 March 2026**

16:00 - 18:00 (CET)

Interpretation: English, Spanish (TBC)

More information and registration



<https://tinyurl.com/4kwmw934>

Continue to share your examples of protocols, frameworks and other resources to inform the draft ethics protocol:

Share your case stories, audio files, photos and testimonials on the **LCIPP Community Board**



Share your publications, reports, videos and presentations on the **LCIPP Resource Hub**



Or email the secretariat at lcipp@unfccc.int

Additional ways to contribute to the LCIPP

Share your views on the impact of the work under the LCIPP and help shape its next workplan (2028-2031)

In line with decision 14/CP.29, Parties, Indigenous Peoples, local communities and stakeholders are invited to submit their views on the issues below:

What to submit: Views on activities and thematic focuses for the workplan of the LCIPP for 2028-2031

Deadline: 30 September 2026

Views for consideration of the Facilitative Working Group at its 16th meeting (FWG 16), to be held in 2026

What to submit: Views on the impact of work under the LCIPP

Deadline: 1 November 2026

Views will serve as input to the review of the Facilitative Working Group in 2027

Find LCIPP Submissions on the Portal:

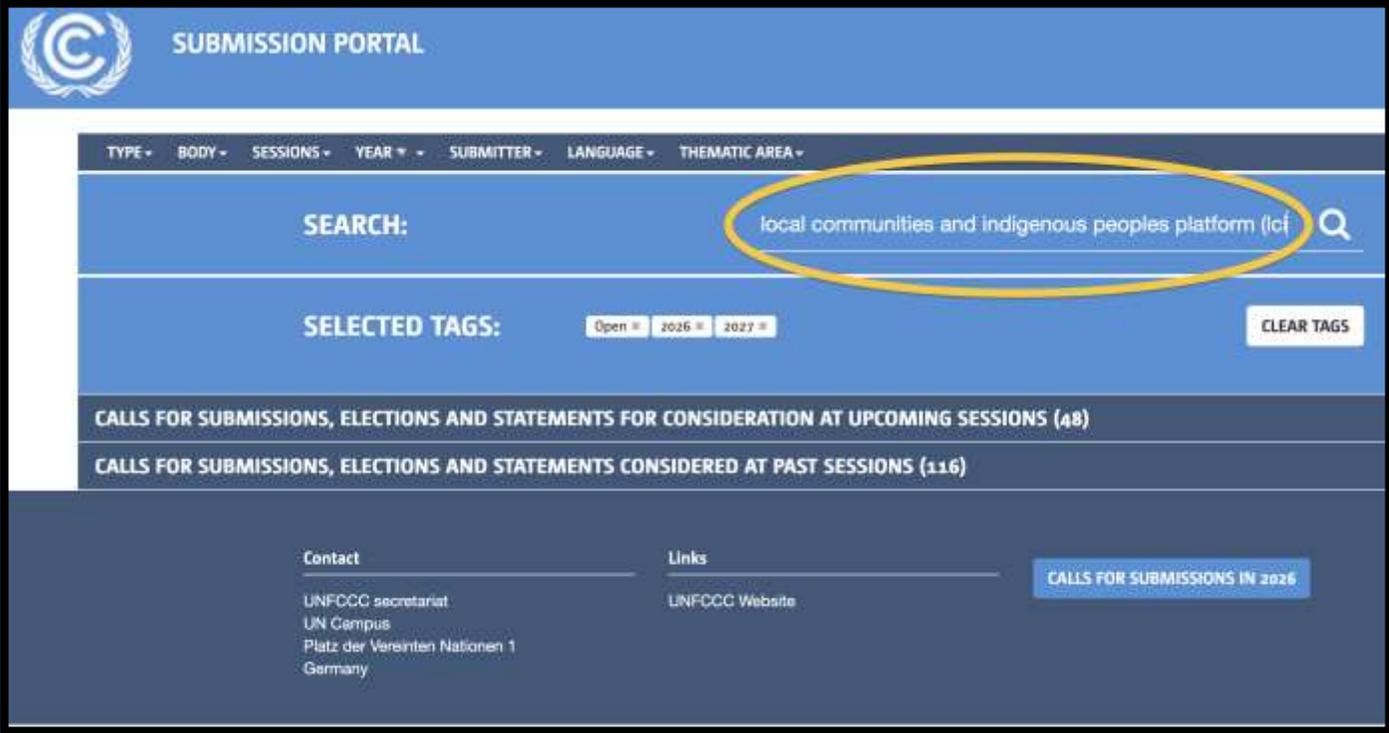
Step 1. Access
the [UNFCCC
Submission Portal](#)



A screenshot of the UNFCCC Submission Portal. The page has a blue header with the UNFCCC logo and the text "SUBMISSION PORTAL" and "Login". Below the header is a navigation bar with dropdown menus for "TYPE", "BODY", "SESSIONS", "YEAR", "SUBMITTER", "LANGUAGE", and "THEMATIC AREA". A search bar with a magnifying glass icon is present. Below the search bar, there is a "SELECTED TAGS:" section with buttons for "Open", "2026", and "2027", and a "CLEAR TAGS" button. The main content area shows two categories: "CALLS FOR SUBMISSIONS, ELECTIONS AND STATEMENTS FOR CONSIDERATION AT UPCOMING SESSIONS (48)" and "CALLS FOR SUBMISSIONS, ELECTIONS AND STATEMENTS CONSIDERED AT PAST SESSIONS (116)". At the bottom, there is a footer with "Contact" information (UNFCCC secretariat, UN Campus, Platz der Vereinten Nationen 1, Germany) and "Links" (UNFCCC Website). A blue button labeled "CALLS FOR SUBMISSIONS IN 2026" is also visible.

Find LCIPP Submissions on the Portal:

Step 2. Add "*Local Communities and Indigenous Peoples Platform (LCIPP)*" in the search field



Find LCIPP Submissions on the Portal :

Step 3. Find the two relevant calls for submission under "*Calls for submission, elections and statements for consideration at upcoming sessions*"

The screenshot displays the search results for "Communities and Indigenous Peoples Platform (LCIPP)". The page includes a search bar, a filter for "SELECTED TAGS" (Open, 2026, 2027), and a section titled "CALLS FOR SUBMISSIONS, ELECTIONS AND STATEMENTS FOR CONSIDERATION AT UPCOMING SESSIONS (2)".

Issue	Title	Mandate	Deadline	Session Name	Action
Local Communities and Indigenous Peoples Platform (LCIPP) - Input to the workplan of the LCIPP for 2028-2031	Parties, Indigenous Peoples, local communities and stakeholders are invited to submit views on activities and thematic focuses for the workplan of the Local Communities and Indigenous Peoples Platform for 2028-2031 for consideration by the Facilitative Working Group at its 16th meeting, to be held in 2026.	Decision 14/CP.29, paragraph 19	29/09/2026	LCIPP 16th	START SUBMISSION
Local Communities and Indigenous Peoples Platform (LCIPP) - Views on the impact of work under the LCIPP as input to the FWG review in 2027	Parties, Indigenous Peoples, local communities and stakeholders are invited to submit views on the impact of work under the Local Communities and Indigenous Peoples Platform, which will serve as input to the review of the Facilitative Working Group in 2027.	Decision 14/CP.29, paragraph 19	31/10/2026	LCIPP 16th	START SUBMISSION

There are no submissions yet.

Guidance on how to make a submission:



Parties

Parties can upload submissions on the portal, through their National Focal Points.

The screenshot shows the LCIPP submission portal interface. At the top, there are navigation tabs: TYPE, BODY, SESSIONS, YEAR, SUBMITTER, LANGUAGE, and THEMATIC AREA. Below this is a search bar with the text "Communities and Indigenous Peoples Platform (LCIPP)" and a magnifying glass icon. Underneath the search bar, there are "SELECTED TAGS" for "Open", "2026", and "2027", along with a "CLEAR TAGS" button. The main content area is titled "CALLS FOR SUBMISSIONS, ELECTIONS AND STATEMENTS FOR CONSIDERATION AT UPCOMING SESSIONS (2)". It lists two entries:

Issue	Deadline
Local Communities and Indigenous Peoples Platform (LCIPP) - Input to the workplan of the LCIPP for 2028-2031	29/09/2026
Local Communities and Indigenous Peoples Platform (LCIPP) - Views on the impact of work under the LCIPP as input to the FWG review in 2027	31/10/2026

Each entry includes a "Title" and a "Mandate" field. The "START SUBMISSION" buttons for both entries are highlighted with yellow arrows.

Organizations with observer status

Observer organizations can upload submissions on the portal, through their Designated Contact Point.

Guidance on how to make a submission:



Non-Party stakeholders without observer status

- Non-Party stakeholders without observer status are invited to send their submissions to the UNFCCC secretariat by email to submission-info@unfccc.int
- Guidelines for submissions can be found using the QR Code above



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THANK YOU

Local Communities and Indigenous Peoples Platform (LCIPP)

Photo credit: Freepik

Collective Approach 5

CA5: Enhanced engagement with Parties

Conducted targeted outreach and build capacity of the national gender and climate change focal points and national Action for Climate Empowerment focal points.

Identify national focal points for targeted outreach

Identify themes for the next annual dialogue

Held an annual dialogue for Indigenous Peoples, local communities and Parties to promote the ethical and equitable engagement of values and knowledge systems of Indigenous Peoples and local knowledge systems in the design and implementation of NDCs during SB62.

5.1 Conduct targeted outreach to and engage with national focal points, including but not limited to national focal points to the UNFCCC, loss and damage contact points, adaptation contact points, national gender and climate change focal points and national Action for Climate Empowerment focal points.

5.2 Hold an annual dialogue for Indigenous Peoples, local communities and Parties to promote the ethical and equitable engagement of values and knowledge systems of Indigenous Peoples and local knowledge systems in the design and implementation of NAPs, NDCs and national communications

5.3 Develop recommendations and guidance on best practices to ensure the ethical and equitable engagement, protection, and use of traditional knowledge, the knowledge of Indigenous Peoples, and local knowledge systems, including through an ethics protocol to be created with the direct involvement of Indigenous Peoples and local communities and used at the gatherings of knowledge holders, regional (or biregional) gatherings, and seventh-generation round tables.

5.4 Enhance the participation of Indigenous Peoples and local communities in the UNFCCC process, prioritizing direct participation, including of youth and women, in training sessions and workshops of relevant constituted bodies and UNFCCC workstreams, in order to facilitate their contribution to the process of formulating, implementing and communicating climate policies and actions.

5.5 Develop a draft ethics protocol before the 15th meeting of the FWG and enhance the participation of Indigenous Peoples and local communities in the UNFCCC process throughout the year.

Enhance direct participation in training sessions and workshops of relevant constituted bodies and UNFCCC workstreams

Refine plans to develop a draft ethics protocol before the 15th meeting of the FWG

